PTOREIGIA (07-06) Approved for use through 01/81/2007 OMB 0881-0032 Approved for use through 01/81/2007 OMB 0881-0032 U.S. Patent and Trademat Concert U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1695, no parable are required to reapped to a collection of information under a display a wait OMB carried number. DECLARATION (37 CFR 1,63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

-10/0			
Tide of Invention	Therapeutic and carrier molecules		
As the belo	w named inventor(s), I/we declare that:		
This declar	alion is directed in:		
	The attached application, or		
	Application No PCT/AU2006/00008 Ned on 26 January 2008		
	As amended on((if applicable);		
i/we belleve sought;	s that I/we smilere the original and first inventor(s) of the subject matter which is distinct and for which a patent is		
líwe have n amendmen	eviewed and understand the contents of the above-identified explication, including the claims, as amended by eny I specifically referred to above:		
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to make to be material to patentability as defined in 37 CFR 1.58, including for continuation in-part applications, material information which became available between the filing date of the prior application and the national or FCT International filing date of the continuation-in-part application.			
	WARNING:		
Petitioner/applicant is cautioned to avoid submitting pasconal information in documents filed in a patent application that may contribute to identify their. Personal information such as accisal security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2036 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicant is activated that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also the available to the public if the application is relatenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2036 submitted for payment purposes are not retained in the application file and therefore are not publicly available.			
All statements made hardin of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful lates statements and the like are punishable by line or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
EUL MAN	FOE INVENTOR(S)		
FULL NAME OF INVENTOR(S) Inventor one: Antonio FERRANTE			
INVOILED ONE,			
Signature:	Clitzen of: Authralia		
Inventor two: Debarah Ann RATHJEN			
Signature:Citizen of: Augustie Additional inventors or a legal representative are balling named onadditional form(s) attached			
Asiditis	inal invaniors of a legal representative are baing named onadditional form(a) attached hereto.		
This collection (and by the L! minute to com	of information is required by 56 U.S.C. 115 and 97 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file SPTO to proceed an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 plate, including getteding, preparing, and submitting the complained application form to the USPTO. There was very depending upon the individual		

case. Any commands on the amount of time you require to complete this form und/or suppositions for reducing this burden, should be east to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commence, P.O. Dox 1450, Alexandria, VA 22313-1450. DO NOT SIND FRES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need sessioner in completing the form, sell 1-800-PTO-9199 and select cyclop 3.

APPLICATION DATA SHEET

Application Information

Application Type: Subject Matter:

ect Matter:

Title:

Attorney Docket Number: Total Drawing Sheets: Petition included?: Regular Utility

THERAPEUTIC AND CARRIER MOLECULES

17418 16 No

Inventor Information

Inventor One Authority Type: Primary Citizenship Country:

Status: Given Name: Family Name: Name Suffix:

City of Residence:

State or Province of Residence:

Country of Residence: Street:

City:

State or Province:

Country:
Postal or Zip Code:

Inventor Two Authority Type: Primary Citizenship Country:

Status: Given Name: Middle Name

Middle Name: Family Name: Name Suffix:

City of Residence:

State or Province of Residence: Country of Residence:

Street: City:

State or Province:

Country:

Postal or Zip Code:

Inventor Australia

Full Capacity
Antonio
Ferrante
Mr.

Mount Osmond South Australia Australia

59 Glen Eagles Road Mount Osmond South Australia

Australia 5064

Inventor
Australia
Full Capacity
Deborah
Ann
Rathjen
Ms.

5159

Flagstaff Hill South Australia Australia

86 Kingfisher Court Flagstaff Hill South Australia Australia

Correspondence Information

Correspondence Customer Number: 00272

FROM-dec

Representative Information

Designation::

Registration number::

31,346

Primary Primary Primary

30,749 33,705

19,827

Primary

Primary Primary

Primary

Primary

Domestic Priority Information

Application::

Continuity Type::

Parent Application:: Parent Filing Date::

60/540,604

Name::

Frank S. DiGiglio

Paul J. Esatto, Jr.

Leopold Presser

Edward W. Grolz

01/30/2004

Foreign Priority Information

Application:

Continuity Type::

Parent Application:

Parent Flling Date::

This Application Claims the Benefit

Under 35 USC 119(a)-(d) of

Assignce Information

Assignce Name:

PEPLIN BIOLIPIDS PTY LTD

Street:

Level 2, Brisbane Portal, 1 Breakfast Creek Road

City:

State or Province:

Newstead Oucensland

Country:

Austrolia

Postal or Zip Code:

4006

FTO/SE/DTA (07-08)
Approved for use librough 01/31/1307. OMB (081-0032

U.S. Palent and Trademork Olice: U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

	AFFEIGATION DATA OFFEE TO OFFE THE			
Title of Invention	Therapeutic and carrier molecules			
As the belo	y named inventor(s), I/we declara that:			
This declar	ation is directed to:			
	The elleched application, or			
	Application No. PCT/AU2009/000098 [filed on 28 January 2009			
	As amended on(if applicable);			
สอบอินเ:	e that I/we am/are the original and first inventor(a) of the subject matter which is claimed and for which a patent is			
ilwa have r emendmen	eviowed and understand the contents of the above-identified application, including the obtans, as amended by any t apacifically referred to above:			
I/we acknowledge the duty to disclose to the United States Patent and Trademerk Office all information known to malus to be material to patentability as defined in 37 CFR 1.59, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application. WARNING: Petitioner/applicant is cautioned to avoid submitting paramet information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a patition or an application. If this type of personal information is included in documents submitted to the USPTO, positioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or sequence of a patent. Furthermore, the record from an abandanced application may also be seriable to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.44). Checks and credit card application is referenced in a published application or an issued patent extends in the application are not application are not application and the application are not application and the application are not application and the application are not application are not application and the application are not application.				
authorizatio publicly ava	authorization forms PTO-2038 submitted for payment purposes are not retained in the application and this interest are publicly available.			
All statements made herein of my/own knowledge are true, all statements made herein on information and ballef are ballaved to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeoperaize the validity of the application or any patent issuing thereon.				
FULL NAM	E OF INVENTOR(B)			
inventor or	e: Antonio FERRANTE			
Signature:	Clizan of: Australia			
Inventor Iw	d: Deborah Am RATHJEN			
Signalure:				
Addiu	onel inventors of a logal representative are being named onedditional (orm(e) attached hereto.			
This collection (and by the U	i of information is required by 35 U.S.C. 118 and 37 OPR 1.83. The information is required to obtain or retain a constitution to public which is to file SPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1			

This collection of information is required by 35 U.S.C. 118 and 37 GPR 1.83. The information is required to obtain or retain a benefit by the public which is to fits (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 GPR 1.11 and 1.14. This effection is callinated to take 1 minute to complete, including pathethy, preparing, and submitting the completed application form to the USPTO. Time will vary depending open the including pathethy, preparing, and submitting the complete of the process. Any comments on the amount of time your require to complete this formation for reducing this burden, should be east to the Chief information Officer, U.S. Polant and Trademark Office, U.S. Department of Commerces, P.C. Box 1450, Alexandrio, VA 22313-1450. DO NOT SEND FREE OR COMPLETED PROCESS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Hyou need assistance in completing the form, cell 1-200-PTD-9189 and collect option 2.

APPLICATION DATA SHEET

Application Information

FROM-dcc

Application Type: Subject Matter:

Regular Utility

Title:

THERAPEUTIC AND CARRIER MOLECULES

Attorney Docket Number: Total Drawing Sheets: Petition included?:

17418 16 No

Inventor Information

Inventor One Authority Type: Primary Citizenship Country:

Status: Given Name: Family Name:

Name Suffix: City of Residence:

State or Province of Residence:

Country of Residence:

Street: City:

State or Province:

Country:

Postal or Zip Code:

Inventor Two Authority Type:

Primary Citizenship Country: Status:

Given Name: Middle Name: Family Name: Name Suffix:

City of Residence:

State or Province of Residence: Country of Residence:

Street: City:

State or Province:

Country: Postal or Zip Code: Inventor -

Australia Full Capacity Antonio Ferrante Mr.

> Mount Osmond South Australia

Australia

59 Glen Eagles Road Mount Osmand South Australia Australia

5064

Inventor Australia Full Capacity Deborah

Ann Rathjen Ms.

Flagstaff Hill South Australia Australia

86 Kingfisher Court

Flagstaff Hill South Australia Australia

5159

Correspondence Information

Correspondence Customer Number: 00272

Representative Information

FROM-dec

Designation::

Registration number::

Name::

Primary Primary 31,346

Frank S. Di Giglio

Primary

30,749 33,705 19.827 Paul J. Esatto, Jr. Edward W. Grolz

Leopoid Presser

Primary

Primary Primary

Primary

Primary

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Application::

Continuity Type::

Parent Application:: Parent Filing Date::

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This Application Claims the Benefit

Under 35 USC 119(a)-(d) of

Assignce Information

Assignce Name:

PEPLIN BIOLIPIDS PTY LTD

Street:

Level 2, Brisbane Portal, 1 Breakfast Creek Road

City;

State or Province:

Newstead

Country:

Queensland Australia

Postal or Zip Code:

4006

PTC/SB/81 (01-05)
Approved for use through 12/31/2008, OM6 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
TO A COMMERCE TO A COMMER

Under the Peparuark Reduction Act of 1935, no persons are requ	red to reasond to a collection of info	miglion unless it displays a valid OMB control number-		
	Filing Date	12 August 2008		
POWER OF ATTORNEY	First Named Inventor	Antonio FERRANTE		
and	Title	Therapeutic and carrier molecules		
CORRESPONDENCE ADDRESS	Art Unit	SHOPPERD BUT THE WAY		
INDICATION FORM	Examinar Name			
	Attorney Ducket Number	17418		
I hereby revoke all previous powers of attorney give	en in the above-identified	application.		
I hereby appoint:				
Presitioners associated with the Customer Number.	00272			
OR ·				
Practitioner(s) nemed below:				
Name		Registration Number		
as mylour allomay(a) or agent(a) to prosecute the application	identified above, and to transac	t all business in the United States Patent and		
Trademark Office connected therewith.				
Please recognize or change the correspondence address for	the above-ideolifed application	loː		
1 1				
The address associated with the above-mentioned to OR	Customer Number:			
The address associated with Cualomer Number:				
OR	1			
Firm or Individual Name				
Address				
City	State	Zip		
Country				
Telaphone	Email			
i am the:				
Applicant/inventor.				
Assignee of record of the entire interest. See 37 CF	R 3.71.			
Statement under 37 CFR 3.73(b) is enclosed. (Form	n PTO/SE/98)			
PEPLIN BIOLIPIDS PTY LTD SIGNATURE	of Applicant or Assignee of Re	cord		
Signature		Date 6 MAY 89		
Name DAVID SMITH YCHERRAL HILST TELAPHONE CHERREN HULL				
Tills and Company COMPANY SERETHAN NON EXENTIVE DESCIBE				
NOTE: Signatures of all the inventors or sasigness of record of the e algorithm is required, see below".	nilre inicresi or mak representativeti	s) are required. Submit multiple forms if more than one		
*Total of one forms are submilled.				

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.44. This collection is estimated to take 3 minutes the USFTO to process) an application, confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.44. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting file complete form to the USFTO. Time will vary depending upon the Individual case. Any to comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT BEND FRES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option Z.

PTO/85/35 (99-06)
Approved for use through 00/31/2007. OMS 0651-0031
U.S. Palent and Trademark Office; U.S. DEPARTMENT OF COMMERCS
Under the Paperwork Reduction Act of 1955, no paraons are required to respond to a collection of information unless it displays a valid OMS control number.

	STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Palent Owner: Anionio FERRAN	TE end Deborah Ann RATHJEN
Application No./Patent No.:	Filed/Issua Date:
Entitled: Therepouls and carrier molecules	
PEPLIN BIOLIBIES PTY I TO (Name of Assigned)	(Type of Assignes, e.g., corporation, partnership, university, government agency, etc.
states that it is: i the assignee of the entire right, title,	, and interest; or
 an assignee of less than the entire of (The extent (by percentage) of its or 	right, title and interest wnership interest is%)
in the patent application/palant identified a	above by virtue of either:
in the United States Palent and Trad thereof is attached.	of the patent application/patent identified above. The assignment was recorded demark Office at Reel, Frame, or for which a copy
OR B. A chain of little from the inventor(s),	of the palent application/patent identified above, to the current assignee as follow
1. From:	To:
The document was recorded	in the United States Patent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached.
2 From:	, or for which a copy thereof is attached.
2, From:	, or for which a copy thereof is attached.
2, From: The document was recorded Real, Fren	, or for which a copy thereof is attached.
From: The document was recorded Real, Fren From: The document was recorded.	, or for which a copy thereof is attached. To: In the United States Patent and Trademark Office at me, or for which a copy thereof is attached,
2. From: The document was recorded Real 3. From: The document was recorded Real	, or for which a copy thereof is attached.
2, From: The document was recorded Real, Fren 3. From: The document was recorded Real, France	
2. From: The document was recorded Real The document was recorded Reel Additional documents in the chal As required by 37 CFR 3.73(b)(1)(i), is assigned was, or concurrently is being, sit	To: In the United States Patent and Trademark Office at me To: In the United States Patent and Trademark Office at me To: In the United States Patent and Trademark Office at me To for which a copy thereof is attached. In of title are listed on a supplemental sheet. The documentary evidence of the chain of title from the original owner to the
2. From: The document was recorded Real 3. From: The document was recorded Real Additional documents in the chain As required by 37 CFR 3.73(b)(1)(i), is assigned was, or concurrently is being, strong in accordance with 37 Cl 302.08) The undersigned (whose title is exposed by	To: In the United States Patent and Trademark Office at me, or for which a copy thereof is attached. To: In the United States Patent and Trademark Office at ma, or for which a copy thereof is attached. In of title are listed on a supplemental sheet. In of title are listed on a supplemental sheet. In the documentary evidence of the chain of title from the original owner to the elimited for recordation pursuant to 37 CFR 3.11. In or title are listed to Assignment document(a)) must be submitted to Assignment FR Part 3, to record the assignment in the records of the USP/TO. See MPEP
2, From: The document was recorded Real, Fren. 3. From: The document was recorded Real, Fra. Additional documents in the chall assigned was, or concurrently la being, still [NOTE: A separate copy (i.e., a true convision in accordance with 37 Cl 302.08] The undersigned (whose title is supplied by the concurrently in the complete of the condense with 37 Cl 302.08]	To: In the United States Patent and Trademark Office at me, or for which a copy thereof is attached. To: In the United States Patent and Trademark Office at me, or for which a copy thereof is attached. In of title are listed on a supplemental sheet. In of title are listed on a supplemental sheet. Ithe documentary evidence of the chain of title from the original owner to the ibmitted for recordation pursuant to 37 CFR 3.11. Interpretation of the original assignment document(a)) must be submitted to Assignment FR Part 3, to record the assignment in the records of the USPTO. See MPEP melow) is authorized to act on taking of the assignment. Date Date
2. From: The document was recorded Real, Fren	To: In the United States Patent and Trademark Office at me, or for which a copy thereof is attached. To: In the United States Patent and Trademark Office at me, or for which a copy thereof is attached. In of title are listed on a supplemental sheet. In of title are listed on a supplemental sheet. Ithe documentary evidence of the chain of title from the original owner to the ibmitted for recordation pursuant to 37 CFR 3.11. Interpretation of the original assignment document(a)) must be submitted to Assignment FR Part 3, to record the assignment in the records of the USPTO. See MPEP melow) is authorized to act on taking of the assignment. Date Date

This collection of information is required by 37 CFR 1.73(b). The information is required to obtain or ratein a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to taxe 12 minutes to complete, including paths ring, preparing, and submitting the completed application form to the USPTO. Time will vary appending upon the individual case. Any comments on this amount of time you require to complete this form endor suggestions for reducing this burden, should be sent to the Chief Information Office.
U.S. Patent and Tratement Office, U.S. Department of Commisce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Petents, P.O. Box 1450, Alexandria, VA 22313-1450.

WHEREAS, I/WE



US PATENI Attorney's docket no. 17418

ASSIGNMENT

Whbreas, I/We		10 0 - 11	or warm Adelaide
Antonio FERRAN	ITE, an Australian citizen o	17 0001+0 Socien Engles	n St. North Adelaide Road, Mount Osmond, South Australia
5064, Australia;	5006, Auchalia	2	and the state of t
Deborah Ann RA	THJEN, an Australian citiz	en of 86 Kingfish	her Court, Flagstaff Hill, South Australi
5159. Auetralia			
hereinafter referred to as a limprovements in The	Assignor (collectively if more than c rapeutic and carrier mole	one inventor is listed at Eules	bove), have invented cortain new and useful
the specification of which	ıt.		
(E) (J)	was executed on even date herev		
(р) []	was filte on	as [] Ap	plication No or and was amended on
	ar	anolicable) or	
(c) [x]	was described and olaimed in PC	T International Applic	FArtiele 19 on (If any)
	and/or under PCT Article 34 on	The state of the s	(if any).
and whereas.			
	DS PTY LTD, of Level 2,	Brisbane Portal,	I Breakfast Creek Road, Newstead,
Queensland 4006, A	Australia	_	
the United States of Amer	Assigned; desires to acquite the enti- ica, its territories and possessions.	e nghis, hile, and into	rest in and to the said improvements with respect to
NOW, THERE	FOR, for good and valuable const	derution, the recains	and sufficiency of which is hereby acknowledge
Assigner hereby acknowle	edges that it has sold, assigned, from	eferred and set over, a	nd by these procents does hereby sell, assign, transf
America, and its territoric	ieo, its successors, legal representati es and possessions in to and under	ves and essigns, the c	entire right, sittle, and interest in the United States and any Potent Applications in the United States
America and all division	s, renewals and continuations thereo	f, and all Patents of i	the United States of America which may be grante
and requests the Commis	sioner of Potents of the United Stat	es of America to issu	utional Conventions; and Assignor hereby authorizes all Parants for said improvements to Assignor.
successors, legal represent	atives and assigns, in accordance wit	it the terms of this insi	fument.
AND ASSIGNO	OR HEREBY coverants and agrees the	nst ét will communicate	to Assignee, its successors, logal representatives to
assigns, any facts known	to it respecting said improvements	and testify in any i	agal proceeding, sign all lawful papers, execute a
lonal rupresontatives and a	reissue applications, make all rightle Ssigns, to obtain and enforce occuer	i caths and generally s parent protection for s	to everything possible to aid Assignee, he successored improvements in the United States of America.
in testimony where	3OF, Asalgnor intending to be legally	bound has hereunto a	ffixed its signature
- / //	N. J. a. v.	/,	as the second
This 6th day of	1904 .20	<u> </u>	Well &
1-0	97	Signature of	António FERRANTE
	and the stage		
Witness			
·	Southern		
Thisday of _	, 20		
		Signature of	Deborah Ann RATHJEN
Wilness			

US PATENT Attorney's dooket no. 17418



ASSIGNMENT

WHEREAS, I/WE			
5054, Australia;			Road, Mount Osmond, South Australia
herainafter referred to improvements in T	અ Assignor (collectively if more than one t herapeutic and carrier molecul	rventor is listed abo es	ive), have invented sectain new and useful
the specification of wi	nich:		
(p) [] (u) []	was executed on even date herewith; was filed on [] Express Mall No., as Application	No. not yet known	Joation No or and was amended on
(c) [x]	was described and claimed in PCT in filed on 28 January 2005 and as ar and/or under PCT Article 34 on	ternational Applica	Article 19 on (if env)
AND WHEREAS, PEPLIN BIOLI Queensland 4006	PIDS PTY LTD, of Level 2, Bri:	bane Portal, 1	Breakfast Creek Road, Newstead,
NOW, THE Assigner hereby acknown of the every archeology acknown and set aver, unto As America, and its territation and all divisions and requests the Comsuccessors, legal representatives and divisional, continuing a legal representatives as	noricu, its lemitories and possessions. REFOR, for good and valuable consideratively applied to the property of the successors, legal representatives ories and passessions in, to and under said one, renewals and continuations thereof, are and extensions thereof, and all rights of primited and passessions the United States are contained and assigns, in accordance with the NOR HEREBY covenants and agrees that it was to it respecting said improvements, and and release applications, make all rightful and	tion, the reacipt and and estimate, and and assigns, the on it improvements, and all Petents of the ority under internal famorica to issue terms of this instruction of this instruction is any legister of the internal of th	o Assignce, its successors, legal representatives and jul proceeding, sign all lawful papers, execute all everything possible to aid Assignce, its successors, it improvements in the United States of America.
This <u>duy</u>	of, 20		
		Signature of	Antonio FERRANTE
Witness		11	
rais <u>20</u> th day	se <u>Charil</u> 2009	Debo	w
Ω	1-12	Signature of	Deborah Ann RATHJEN